1 DANIEL E. LUNGREN, Attorney General of the State of California 2 RICHARD D. GARSKE, Deputy Attorney General, State Bar No. 50569 3 Department of Justice 110 West A Street, Suite 1100 Post Office Box 85266 4 San Diego, California 92186-5266 5 Telephone: (619) 645-2075 6 Attorneys for Complainant 7 BEFORE THE 8 MEDICAL BOARD OF CALIFORNIA 9 **DIVISION OF MEDICAL QUALITY** DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA 10 11 In the Matter of the Accusation NO. D-5127 12 Against: 13 RAUL FRAIDE, M.D. STIPULATION FOR 951 South Beach Blvd. SURRENDER OF 14 La Habra, CA 90631 LICENSE 15 Physician and Surgeon's Certificate No. Al1369 16 Respondent. 17 18 19 IT IS HEREBY STIPULATED AND AGREED by and between the 20 complainant, DIXON ARNETT, Executive Director of the California 21 State Medical Board of California (hereinafter "Board") and 22 RAUL FRAIDE, M.D. (hereinafter "respondent"), parties to the 23 above-entitled matter that: 24 DIXON ARNETT, complainant, is the Executive 25 Director of the Medical Board of California, and is represented 26 by Daniel E. Lungren, Attorney General of the State of 27 California, by Richard D. Garske, Deputy Attorney General.

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2. Respondent is represented in this administrative disciplinary proceeding before the Board by Stephen J. Martino, Esq. Respondent has counseled with Attorney Martino concerning the effect of this stipulation, which respondent has carefully read and fully understands.

- 3. On or about May 7, 1946, Physician and Surgeon's Certificate No. All369 was issued by the Board to Raul Fraide, M.D.
- 4. On or about March 17, 1993, complainant, in his official capacity as Executive Director of the Board, filed Accusation No. D-5127 against respondent. A true and accurate copy of Accusation No. D-5127 is attached hereto as Attachment A and incorporated by reference as if fully set forth herein. On or about December 9, 1993, respondent was served with Accusation No. D-5127, together with all other statutorily required documents, at his home address of 1261 Smoke Tree Drive, La Habra, California 90631.
- 5. Respondent is fully aware of the charges and allegations contained in Accusation No. D-5127. Respondent further understands that the charges and allegations contained in Accusation No. D-5127 would, if proven, constitute cause for imposing discipline upon his physician and surgeon's license.
- 6. Respondent is fully aware of his right to a hearing on the charges and allegations contained in Accusation No. D-5127, his right to reconsideration, appeal, and any and all other rights which may be accorded him pursuant to the California Administrative Procedure Act and California Code of Civil

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Procedure, having been fully advised by his attorney, Stephen J. Martino, Esq.

- 7. Respondent, having benefit of counsel, hereby freely, voluntarily, and intelligently waives his rights to a hearing, reconsideration, appeal, and any and all other rights which may be accorded him pursuant to the California Administrative Procedure Act and California Code of Civil Procedure concerning Accusation No. D-5127.
- 8. Respondent understands that by signing this Stipulation, rather than contesting the charges and allegations contained in Accusation No. D-5127, he is enabling the Board to issue its order accepting the surrender of respondent's physician and surgeon's license without further notice, opportunity to be heard, or formal proceeding.
- Upon acceptance of this stipulation by the Board, respondent agrees to surrender, and cause to be delivered to the Board, his wallet certification, his Physician and Surgeon's License Certificate No. Al1369.
- Respondent fully understands that when the Board accepts respondent's surrender of his physician and Surgeon's License No. Al1369 respondent will no longer be permitted to practice as a physician and surgeon within the State of California.
- Respondent fully understands, and expressly agrees that should he ever reapply for a physician and surgeon's license in the State of California, all the charges and allegations contained in Accusation No. D-5127 shall be deemed admitted by 111

- 12. This agreement is made for the purpose of settling Accusation No. D-5127. It is only for the purpose of this proceeding and any subsequent proceeding between the Board and Raul Fraide, M.D., or any action taken by or before any governmental body responsible for licensing physicians and surgeons.
- 13. Respondent hereby voluntarily surrenders his Physician's and Surgeon's Certificate No. All369 to the Board for its formal acceptance, thereby relinquishing his right to practice medicine in the State of California.
- provisions of the Business and Professions Code and agrees that he will not apply to the Board to have his certificate renewed, restored, reissued or reinstated. Respondent further agrees that he will not apply for a new certificate for at least three (3) years after the effective date of this surrender, and that any such application shall be deemed a petition for reinstatement of the certificate and treated according to the provisions of Business and Professions Code section 2307, or any similar section that is in effect at the time of such an application.
- 15. This stipulation for surrender of respondent's physician and surgeon's license is intended by the parties to be

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an integrated writing, memorializing the complete agreements of the parties herein.

In the event this stipulation is rejected for any 16. reason by the Medical Board of California, it will be of no force or effect for either party.



ACKNOWLEDGEMENT

I, RAUL FRAIDE, M.D., have read the Stipulation in Case No. D-5127, and enter the Stipulation for surrender of my physician and surgeon's license freely, voluntarily, intelligently, on advice of counsel, and with full knowledge of its force and effect, and do hereby surrender my Physician and Surgeon's Certificate No. All369 to the Medical Board of California for its formal acceptance. By so surrendering my license, I recognize that upon formal acceptance of the surrender by the Board, I will lose all rights and privileges to practice as a physician and surgeon in the State of California.

I have read the above document and I fully understand, accept, and consent to all of the provisions of the above stipulation and order.

DATED:

FRAIDE, M.D Respondent

I CONCUR IN THIS STIPULATION.

DATED:

February 14, 1994

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MARTINO,

Attorney at Law

Attorney for Respondent

DANIEL E. LUNGREN Attornew General

RICHARD D. GARSKE

Deputy Attorney General

Attorneys for Complainant

ORDER The surrender of Physician and Surgeon's Certificate No. Al1369 by respondent Raul Fraide, M.D., is accepted by the Medical Board of California. THIS DECISION AND SAID SURRENDER SHALL BECOME EFFECTIVE on the 6th day of September , 1994. MEDICAL BOARD OF CALIFORNIA Division of Medical Quality Medical Board of California State of California BY: Ira Lubell, M.D., Panel A Division of Medical Quality

	\\
1	DANIEL E. LUNGREN, Attorney General of the State of California
2	RICHARD D. GARSKE, Deputy Attorney General, State Bar No. 50569
3	Department of Justice 110 West A Street, Suite 700
4	Post Office Box 85266 San Diego, California 92186-5266
5	Telephone: (619) 237-7815
6	Attorneys for Complainant
7	
8	BEFORE THE MEDICAL BOARD OF CALIFORNIA
9	DIVISION OF MEDICAL QUALITY DEPARTMENT OF CONSUMER AFFAIRS
10	STATE OF CALIFORNIA
11	In the Matter of the Accusation) NO. D-5127
12	Against:)
13	RAUL FRAIDE, M.D.) <u>ACCUSATION</u> 951 South Beach Blvd.)
14	La Habra, CA 90631)
15	Physician and Surgeon's) Certificate No. No. All369)
16	Respondent.)
17	
18	COMES NOW Complainant Dixon Arnett , who as cause
19	for disciplinary action, alleges:
20	1. Complainant is the Executive Director of the
21	California State Medical Board of California ("Board") and makes
22	and files this accusation solely in his official capacity.
24	<u>LICENSE STATUS</u>
25	2. On or about May 7, 1946, Physician and Surgeon's
26	Certificate No. All369 was issued by the Board to Raul Fraide,
27	M.D. (hereinafter "respondent"), and at all times relevant
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herein, said Physician and Surgeon's Certificate was, and currently is, in full force and effect. Respondent is not authorized to supervise physician assistants.

STATUTES

- 3. This accusation is made in reference to the following statutes of the California Business and Professions Code ("Code"):
- a. Code section 2220 provides, in pertinent part, that the Board may take action against all persons guilty of violating the Medical Practice Act. 1/
- b. Code section 2227 provides that the Board may revoke, suspend for a period not to exceed one year, or place on probation, the license of any licensee who has been found guilty under the Medical Practice Act.
- c Code section 2234 provides that unprofessional conduct includes, but is not limited to, the following:

". . . .

"(b) Gross negligence.

0 . . .

"(d) Incompetence.

"••••

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SUMMARY OF ALLEGATIONS:

4. This accusation is brought, and respondent is subject to, disciplinary action pursuant to section 2234 of the Medical Practice Act [unprofessional conduct] in itself, and in

^{1.} The Medical Practice Act comprises chapter 5 of division 2 [Healing Arts] of the Business and Professions Code.

conjunction with sections 2234(b) [gross negligence], and 2234(d) [incompetence].

ALLEGATIONS

FACTUAL PREDICATE

5. The specific medical treatment rendered by respondent Fraide is limited to surgery performed on patient ASHIYA K. in three separate operations, and on patient MARY JEAN N. in one operation as follows:

PATIENT ASHIYA K.

6. ASHIYA K. was 19 years old when she had the initial operation [cholecystectomy]^{2/} performed by respondent. The subsequent operations were performed as a result of post-

2. Cholecystectomy: "Surgical removal of the galibladder."

[All medical term definitions in footnotes herein are taken from <u>Stedman's Medical Dictionary</u> (5th Unabridged Lawyers' ed., 1982) unless stated otherwise.]

operative complications following each operation. ASHIYA K.

stricture, g and cholangitis and had at least four surgical

procedures at UCLA Medical Center following the first three

surgical procedures performed by respondent. The first three

surgical procedures by respondent will be addressed separately:

developed biliary cutaneous fistula, jaundice, common duct

- Biliary: "Relating to bile."
 - 4. Cutaneous: "Relating to the skin."
 - 5. Fistula: "An abnormal passage from a hollow organ to the surface, or from one organ to another."
 - 6. Jaundice: "A yellowish staining of the . . . tissues and the excretions with bile pigments, which are increased in the serum."
 - 7. Duct: ". . . [A] tubular structure giving exit to the secretion of a gland, or conducting any fluid."
 - 8. Stricture: "A circumscribed narrowing or stenosis of a hollow structure "
 - 9. Cholangitis: ". . . Inflammation of a bile duct."

OPERATION NO. 1

- 7. On July 7, 1989, an cholecystectomy was performed on patient ASHIYA K. by respondent because of cholelithiasis 10/with colic. Respondent injured the common duct or the right hepatic 11/duct. This injury was the source of biliary drainage.
- 8. Post-operatively, she drained bile from the drain site which was treated by respondent as a bile fistula from the gall bladder bed.
- 9. Respondent falsely assumed the drainage was from a small biliary duct in the gall bladder bed and expected it to close spontaneously.
- 10. Patient ASHIYA K. returned to respondent's office approximately 17 days after the first operation with bile drainage around a drainage tube following the cholecystectomy.
- 11. Laboratory studies showed findings suggesting proximal duct obstruction or decreased liver function. The laboratory studies showed no evidence of abnormal liver function studies at that time.
- 12. Respondent's failure to recognize the fact in the first operation that he had cut the common duct constitutes the commission of act(s) involving gross negligence in violation of code section 2234(b).
- 13. Respondent's failure to order appropriate studies, which would have definitely demonstrated patient ASHIYA K.'s serious, postoperative complication when she continued to drain

^{10.} Cholelithiasis: ". . . presence of concretions in the gallbladder or bile ducts."

^{11.} Hepatic: "Relating to the liver."

bile, constitutes the commission of act(s) involving gross negligence in violation of code section 2234(b).

OPERATION NO. 2

- 14. On August 2, 1989, respondent performed a second operation on Ashiya K. to close the "bile fistula," and in doing so, sutured the common bile duct.
- 15. On August 2, 1989, in the second operation on patient ASHIYA K., respondent performed an exploratory laparotomy^{12/} and described excision^{13/} of a bile sinus tract and reexploration of the abdomen with suture^{14/} ligation^{15/} of the "bile duct in gall bladder bed." Respondent performed an excision of biliary cutaneous fistula with closure of fistula by placing sutures in the gall bladder fossa. 16/
- 16. On or about August 7, 1989, patient ASHIYA K. was obviously jaundiced. Retrograde to cholangiopancreatography was carried out. It disclosed complete occlusion of the mid and proximal common bile duct.

^{12.} Laparotomy: "1. Incision into the Ioin. 2. Celiotomy."

^{13.} Excision: ". . . the act of cutting out; the operative removal of a portion of a structure or organ."

^{14.} Suture: ". . . To unite two surfaces by sewing. . . . The seam so formed, a surgical s."

^{15.} Ligation: "The application of a ligature."

Ligature: "A thread, wire, fillet, or the like, tied tightly around a blood vessel, the pedicle of a tumor, or other structure to constrict it. . . ."

^{16.} Fossa: "A depression usually more or less longitudinal in shape below the level of the surface of a part."

^{17.} Retrograde: "1. Moving backward. 2. Degenerating; reversing the normal order of growth and development."

^{18.} Cholangiopancreatography: "Roentgenographic examination of the bile ducts and pancreas."

^{19.} Occlusion: "The act of closing or the state of being closed."

^{20.} Proximal: "Nearest ... the point of origin"

- 17. Respondent's operation a second time, and failure to still recognize the problem, constituted the commission of act(s) involving gross negligence in violation of code section 2234(b).
- 18. Oversewing the "sinus tract and cauterizing the remainder" constituted the commission of act(s) involving gross negligence in violation of code section 2234(b).

OPERATION NO. 3

- 19. On or about August 10, 1989, respondent performed an exploratory laparotomy with common duct exploration with operative cholangiogram²¹ and hepatograms²² and jejunostomy.²³
- 20. In the third operation, respondent further injured the common bile duct and attempted an intra-hepatic biliary and anastomosis²⁴ after litigating the left hepatic duct.
- 21. Respondent's actions in further compounding the injury he had caused in the first two operations by performing the third operation and shredding the remaining common duct and attempting the intra-hepatic jejunostomy constitute the commission of act(s) involving gross negligence in violation of code section 2234(b).
- 22 Respondent's failure to transfer patient ASHIYA K. to the care of more experienced biliary and liver surgeons

^{21.} Cholangiogram: "The roentgenographic record of the bile ducts obtained by cholangiography."

Cholangiography: "Roentgenographic examination of the bile ducts."

^{22.} Hepatic: "Relating to the liver."

^{23.} Jejunostomy: "Operative establishment of an opening from the abdominal wall into the jejunum, usually with creation of a stoma on the abdominal wall."

^{24.} Anastomosis "....2. An operative union of two hollow or tubular structures. ..."

following each of the three operations and instead persisting to operate and reoperate on a complicated biliary complication constituted the commission of act(s) involving gross negligence in violation of code section 2234(b).

operations on patient ASHIYA K. by respondent, she was eventually sent to UCLA Medical Center for reconstructive biliary surgeries. On or about and between April 3, 1990 and September 1, 1990, patient ASHIYA K. underwent care and treatment by the UCLA Medical Center staff related to a series of reconstructive biliary surgeries.

PATIENT MARY JEAN N.

- 24. On or about May 15, 1989, respondent performed a cholecystectomy on patient MARY JEAN N.
- 25. Patient MARY JEAN N. appeared in respondent's clinic during the subsequent months with occasional pains in the lower abdomen. Investigations, including x-rays, were not taken.
- 26. On or about May 3, 1990, patient MARY JEAN N. was admitted to the same medical center by another surgeon with an acute surgical abdomen. She was seen in the emergency room with abdominal pain associated with emesis and fever of one-day duration.
- 27. Another surgeon explored the patient and found a surgical clamp that was closed about a loop of medilium. The clamp was removed and the bowel was resected and an anastomosis was carried out. There was evidence of necrosis of the small bowel.

28. Respondent's failure to remove the clamp following the cholecystectomy performed on patient MARY JEAN N. by respondent on or about May 15, 1989, constitutes the commission of acts involving negligence.

WHEREFORE, complainant requests that the Board hold a hearing on the matters alleged herein, and that following said hearing, the Board issue a decision:

- Revoking or suspending Physician and Surgeon's Certificate No. A11369, heretofore issued to respondent Raul Fraide, M.D.;
- Taking such other and further action as the Board deems appropriate to protect the public health, safety and welfare.

DATED: <u>March 17, 1993</u>

DIXON ARNETT

Executive Director

Medical Board of California Department of Consumer Affairs

State of California

Complainant

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STATE OF CALIFORNIA

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remaining CE and appropriate the second C. 1241 ...